

COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450



IFW

In re application of: Andrew T. HECHT

Serial No.: 10/689,833

Filed: October 20, 2003

For: METHOD FOR VALUING FORWARDS, FUTURES AND OPTIONS ON REAL ESTATE

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application.

- ☐ Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.
☐ Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.
☒ No fee for additional claims is required.
☐ A filing fee for additional claims calculated as shown below, is required:

FOR:	(Col. 1)	(Col. 2)	SMALL ENTITY			LARGE ENTITY	
	REMAINING	HIGHEST	RATE	FEE	OR	RATE	FEE
	AFTER	PREVIOUSLY	PRESENT				
	AMENDMENT	PAID FOR					
TOTAL CLAIMS	* Minus**	=	0	x \$ 9	\$	x \$ 18	\$
INDEP. CLAIMS	* Minus***	=	0	x \$ 40	\$	x \$ 80	\$
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+ \$135	\$	+ \$270	\$
			TOTAL:	\$	OR	TOTAL:	\$

- * If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.
 ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
 *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

- ☒ Also transmitted herewith are:
☐ Petition for extension under 37 C.F.R. 1.136
☒ Other: Form PTO 1449 and Copies of References Cited
- ☐ Check(s) in the amount of \$.00 is/are attached to cover:
☐ Filing fee for additional claims under 37 C.F.R. 1.16
☐ Petition fee for extension under 37 C.F.R. 1.136
☐ Other:
- ☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
- ☐ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

Cary S. Kappel, Reg. No. 36,561
 DAVIDSON, DAVIDSON & KAPPEL, LLC
 485 Seventh Avenue, 14th Floor
 New York, New York 10018
 Tel: (212) 736-1940
 Fax: (212) 736-2427

I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on July 29, 2004.
 DAVIDSON, DAVIDSON & KAPPEL, LLC

BY:

James Bue

UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Application of: Andrew T. HECHT

Serial No.: 10/689,833

Filed: October 20, 2003

For: **METHOD FOR VALUING FORWARDS, FUTURES AND
OPTIONS ON REAL ESTATE****INFORMATION DISCLOSURE STATEMENT**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

July 29, 2004

Sir:

In accordance with Applicant's duty of disclosure under 37 C.F.R. §1.56 and the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants hereby make of record the references listed on the attached Form PTO-1449.

The present Information Disclosure Statement is filed under 37 C.F.R. §1.97(b), before the mailing of a first Office Action on the merits. Accordingly, it is believed that no fee is due. However, if it is determined that any fee is due, the Examiner is authorized to charge said fee to Attorney Deposit Account No. 50-0552.

Respectfully submitted,

DAVIDSON, DAVIDSON & KAPPEL, LLC

By: _____

Cary S. Kappel
Reg. No. 36,561DAVIDSON, DAVIDSON, & KAPPEL, LLC
485 Seventh Avenue, 14th Floor
New York, New York 10018
(212) 736-1940

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.:
874.1001US

SERIAL NO.: 10/689,833

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT(S): Andrew T. HECHT

FILING DATE:
October 20, 2003GROUP:
Unknown

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/E.B./	AA	20	04	01	39	0	3	1		07/15/04	Amatis, et al.	705	80	
/E.B./	AB	20	02	01	03	7	4	4		08/01/02	Llewellyn	705	37	
/E.B./	AC	20	03	01	35	4	4	1		07/17/03	Ginsberg	705	37	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
														YES	NO

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

/Edward Baird/

DATE CONSIDERED

02/19/2008

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.